



Prolifics Banking Regulations Compliance

HELPING FINANCIAL SERVICES MEET REGULATORY COMPLIANCE

COMPLIANCE



Leverage our data governance framework that is populated with over 3000 data rules to support several regulatory mandates

END-TO-END



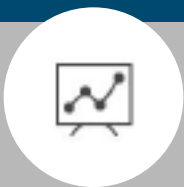
We assist you in creating and implementing a comprehensive compliance strategy

DASHBOARD



Track, inspect and evaluate your data through Prolifics' visual interface

MAINTAINABLE



Easily updated to keep your business in compliance as regulatory requirements change

Mitigate Risks and Make Better Decisions

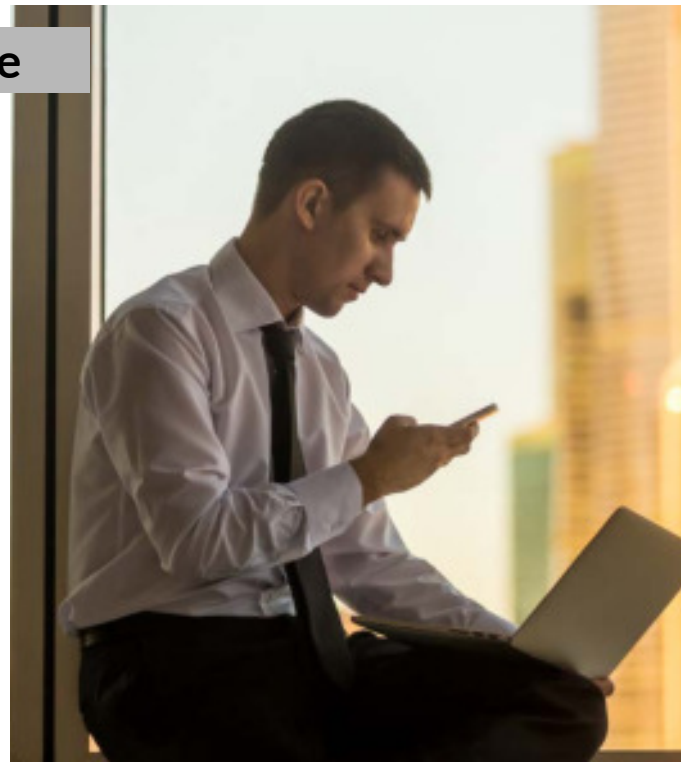
Financial services executives depend on having accurate, complete and up-to-date data to comply with a multitude of regulations, define business strategies and provide a high level of service. Prolifics has developed a solution that will give you confidence in your data, meet regulatory requirements, and give you a solid data foundation for making better business decisions. Financial institutions face serious risks when they operate with data that they cannot substantiate for completeness, accuracy, and fit for purpose. Establishing an enterprise risk management program that continuously monitors and analyzes your data for completeness, accuracy, and compliance will make audits faster and less expensive, while giving you the agility to make better business decisions as the market evolves.

Prolifics Banking Regulations Compliance

Over 3000 Data Rules

The Prolifics Banking Regulations Compliance solution implements over 3000 data rules that address more than 15 distinct quarterly and monthly schedules to help financial institutions meet Enhanced Prudential Standards (including DFAST and CCAR reporting), portions of Dodd-Frank, Capital Planning and Basel BCBS 239 regulatory mandates.

We leverage industry-leading technology and our extensive data governance and financial expertise to provide you with a program that proactively identifies data defects. This allows you to remediate them early in the compliance process.



Meet Compliance Requirements

We help you define and implement a strategy for complex and data-intensive compliance projects so you can confidently:

- Meet Enhanced Prudential Standards requirements, including DFAST and CCAR reporting
- Meet BASEL BCBS 239 requirements
- Meet Capital Planning requirements
- Meet portions of Dodd-Frank requirements
- Reach Basel II/III Data Quality Management
- Implement Data Governance, including Data Lineage
- Establish Data Assurance for Business Intelligence and Analytics
- Implement FATCA Global Client Data Due Diligence
- Implement Fraud Detection
- Meet Regulatory Compliance Data Management
- Implement Address Correction and Verification
- Provide Single Customer View
-

The Prolifics Banking Regulations Compliance solution is comprised of Data Quality/Audit Rules that support the Capital Assessments and Stress Testing Report (FR Y-14Q report) schedules, for example Commercial Real Estate, Corporate Loan Data, Home Equity, First Lien, Fair Value Option, Balances, Supplemental, OpsRisk, plus many more.

You will be able to view, monitor, analyze and validate your data on-demand through our visual interface. This allows you to quickly identify and remediate data issues. Our solution is complete, yet flexible, so it can easily be updated as regulatory rules change or are added.

Do it Right the First Time

Financial institutions that are preparing to submit regulatory reports know how important it is to pass the audits each and every time. If you are just starting this process, having a partner like Prolifics will not only expedite the process, but will provide the guidance you need to accomplish this.

Contact us at solutions@prolifics.com and let our experts implement your enterprise risk management program today!